

P21009.P04

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Frank LEHMANN et al.

Serial No : 09/856,474  
(National Stage of PCT/EP99/09465)

I.A.Filed : December 3, 1999

For : HARDENABLE MATERIALS WHICH CONTAIN URETDIONE GROUPS,  
METHOD FOR THE PRODUCTION AND PROCESSING THEREOF,  
AND THEIR UTILIZATION**COVER LETTER**Commissioner of Patents and Trademarks  
Washington, D.C. 20231

Sir:

In order to comply with the requirements (copy enclosed) for the submission of an executed declaration and English translation of the International Application set forth in the Notification mailed July 3, 2001 which sets a two months period of response until September 3, 2001, Applicants hereby submit:

- an executed Declaration and Power of Attorney;
- a Verified English Language Translation (including 17 pages of the specification with abstract)
- a Preliminary Amendment and Cover Letter Submitting Amended Pages of Application.
- an Attachment 1 Modified Claims for PCT/EP99/09465
- PCT/IPEA/416 (In German)
- PCT/IPEA/409 International Preliminary Examination Report (In German)

- a check in the amount of \$332.00 for which \$130.00 is payment of the Verified English Language Translation, \$72.00 is payment of claims over 20 and \$130.00 to cover the surcharge for late filing of the Declaration.

The Commissioner is hereby authorized to charge any additional fees or credit any overpayment to Deposit Account No. 19-0089.

Should the Examiner have any questions, he is invited to contact the undersigned at the below listed number.

Respectfully submitted,  
Frank LEHMANN et al.

Neil F. Greenblum  
Reg. No. 28,394

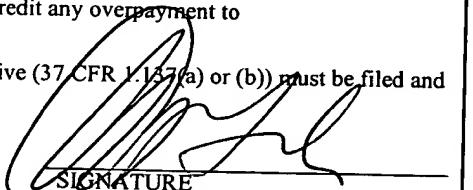
July 30, 2001  
GREENBLUM & BERNSTEIN, P.L.C.  
1941 Roland Clarke  
Reston, VA 20191  
(703) 716-1191

Reg. No. 28,394

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Form PTO-1390	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTORNEY'S DOCKET NUMBER P21009
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		U.S. APPLICATION NO. (If known, see 37 CFR 1.5) 09/856,474	
INTERNATIONAL APPLICATION NO. PCT/EP99/09465	INTERNATIONAL FILING DATE 3 December 1999	PRIORITY DATE CLAIMED 10 December 1998	
TITLE OF INVENTION HARDENABLE MATERIALS WHICH CONTAIN URETDIONE GROUPS, METHOD FOR THE PRODUCTION AND PROCESSING THEREOF, AND THEIR UTILIZATION			
APPLICANT(S) FOR DO/EO/US Frank LEHMANN, Michaela GEDAN-SMOLKA, and Dieter LEHMANN			
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information.			
<ol style="list-style-type: none"> <li><input type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.</li> <li><input checked="" type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.</li> <li><input type="checkbox"/> This is an express request to promptly begin national examination procedures (35 U.S.C. 371(f)).</li> <li><input type="checkbox"/> The US has been elected by the expiration of 19 months from the priority date (PCT Article 31).</li> <li><input type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2))           <ol style="list-style-type: none"> <li><input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).</li> <li><input type="checkbox"/> has been communicated by the International Bureau.</li> <li><input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</li> </ol> </li> <li><input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371 (c)(2)).</li> <li><input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))           <ol style="list-style-type: none"> <li><input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).</li> <li><input type="checkbox"/> have been communicated by the International Bureau.</li> <li><input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</li> <li><input type="checkbox"/> have not been made and will not be made.</li> </ol> </li> <li><input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3))</li> <li><input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. .371(c)(4)). "Executed"</li> <li><input type="checkbox"/> An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (U.S.C. 371(c)(5)).</li> </ol>			
Items 11 to 16 below concern other document(s) or information included:			
<ol style="list-style-type: none"> <li>11. Assignee: <u>INSTITUT FÜR POLYMERFORSCHUNG DRESDEN E.V. of Dresden, GERMANY</u></li> <li>12. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</li> <li>13. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</li> <li>14. <input checked="" type="checkbox"/> A FIRST preliminary amendment. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment.</li> <li>15. <input type="checkbox"/> A substitute specification.</li> <li>16. <input type="checkbox"/> A change of power of attorney and/or address letter.</li> <li>17. <input type="checkbox"/> Figure of Drawing to be published _____</li> <li>18. <input checked="" type="checkbox"/> Other items or information: Cover Letter Form PCT/DO/EO/917 Verified English Language Translation (including 17 pages of the specification with abstract). Cover Letter Submitting Amended Pages of the Specification. an Attachment 1 Modified Claims for PCT/EP99/09465 PCT/IPEA/416 (In German) PCT/IPEA/409 International Preliminary Examination Report (In German)</li> </ol>			

U.S. APPLICATION NO. (If known, see 37 CFR 1.5) 09/856,474	INTERNATIONAL APPLICATION NO. PCT/EP99/09465	ATTORNEY'S DOCKET NUMBER P21009		
19. <input checked="" type="checkbox"/> The following fees are submitted:		CALCULATIONS		
Basic National Fee (37 CFR 1.492(a)(1)-(5)):		PTO USE ONLY		
Search report has been prepared by the EPO or JPO. .... \$ 860.00				
International preliminary examination fee paid to USPTO (37 CFR 1.482). .... \$ 690.00				
No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2)). .... \$ 710.00				
Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO. .... \$1,000.00				
International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4). .... \$ 100.00				
ENTER APPROPRIATE BASIC FEE AMOUNT =		\$ 0.00		
Surcharge of \$130.00 for furnishing the oath or declaration later than <u>20</u> <u>30</u> months from the earliest claimed priority date (37 CFR 1.492(e)).		\$130.00		
Claims	Number Filed	Number Extra	RATE	
Total Claims	24	- 20 =	4	X \$18.00 \$ 72.00
Independent Claims	1	- 3 =	0	X \$80.00 \$ 0.00
Multiple dependent claim(s) (if applicable)			+ \$270.00	\$
TOTAL OF ABOVE CALCULATIONS =			\$202.00	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by $\frac{1}{2}$ .			\$	
SUBTOTAL =			\$202.00	
Processing fee of \$130.00 for furnishing the English translation later than <u>X</u> <u>20</u> <u>30</u> months from the earliest claimed priority date (37 CFR 1.492(f)).			+ \$130.00	
Extension of Time fee in the amount of \$				
TOTAL NATIONAL FEE =			\$332.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property			+ \$40.00	
TOTAL FEES ENCLOSED =			\$332.00	
			Amount to be refunded	\$
			Charged	\$
<p>a. <input checked="" type="checkbox"/> A check in the amount of \$332.00 to cover the above fees is enclosed.</p> <p>b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$_____ to cover the above fees.</p> <p>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 19-0089.</p>				
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.147(a) or (b)) must be filed and granted to restore the application to pending status.				
SEND ALL CORRESPONDENCE TO CUSTOMER NO. 7055 AT THE PRESENT ADDRESS OF: Neil F. Greenblum GREENBLUM & BERNSTEIN, P.L.C. 1941 Roland Clarke Place Reston, VA 20191 (703) 716-1191				
 SIGNATURE Neil F. Greenblum NAME 28,394 REGISTRATION NUMBER <i>Reg. No. 33,099</i>				